

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Rivest et al.

Application No.: 10/553,611

Confirmation No.: 1989

Filed: January 23, 2004

Art Unit: N/A

**For: MICROPAYMENT PROCESSING METHOD
AND SYSTEM**

Examiner: Not Yet Assigned

SECOND RENEWED PETITION UNDER 37 CFR 1.47(a)

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Rafael Bacares

Sir:

In response to the Decision on Renewed Petition under 37 C.F.R. § 1.47(a) mailed February 27, 2008 ("the Decision on Renewed Petition"), Applicants hereby submit the following:

- A. A Second Renewed Petition under 37 C.F.R. § 1.47(a) in the above identified application, in which two joint inventors cannot be reached or refuse to join;
- B. The petition fee of \$200 under 37 C.F.R. § 1.17(g);

Attachment A – Declaration submitted February 26, 2007;

Attachment B – Declaration submitted January 08, 2008;

Attachment C – Declaration of Kellie S. Bickel Filed in Support of
Second Renewed Petition under 37 C.F.R. § 1.47(a); and

Attachment D – Decision on Renewed Petition under 37 C.F.R. §
1.47(a) mailed February 27, 2008.

A. Second Renewed Petition under 37 C.F.R. § 1.47(a) in which two joint inventors cannot be reached or refuse to join

The following individuals are listed as inventors on the above-identified application ("the Application"): Ronald L. Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron III, and Mark Bates. Each of these individuals, with the exception of Perry Solomon and Robert Carney has signed a Declaration in this Application. This Second Renewed Petition under 37 C.F.R. § 1.47(a) is made on behalf of the signing inventors Ronald L. Rivest, Silvio Micali, Robert Nix, Prasad Jonnalagadda, Joseph Bergeron III, and Mark Bates on behalf of themselves and on behalf of the two non-signing inventors, Perry Solomon and Robert Carney.

1. A Declaration of Inventorship (the "first filed Declaration", Attachment A), was filed along with a Petition under 37 C.F.R. § 1.47(a) on February 26, 2007. A Declaration of Inventorship was subsequently executed by Prasad Jonnalagadda, and was filed along with a Renewed Petition under 37 C.F.R. § 1.47(a) on January 08, 2008 (the "second filed Declaration", Attachment B).

2. The last known addresses of the inventors who cannot be reached or refuse to sign are:

- (A) Perry Solomon
111 85th Street E #17G, New York, New York, 10028, and
- (B) Robert Carney
15 Newman Street, Cambridge, Massachusetts, 02140.

3. In the Decision on Renewed Petition, the Examiner determined that "although the averments of Ms. Kellie S. Bickel and the signed postal receipts are

sufficient to support a *bona fide* attempt...to present a copy of the application papers...to the non-signing inventors for their signatures, the time period for their responses before filing the petition was too short." (Decision on Renewed Petition, pg. 2, paragraph 6). Applicants' representatives have subsequently mailed a second courtesy package, including a second copy of the application papers, with an extended requested reply date. In a telephone conference on April 21, 2008 with the undersigned representative, Examiner Bacares indicated that the mailing of the second courtesy package with the extended requested reply date provided the non-signing inventors, Perry Solomon and Robert Carney, a sufficient time period for review of the application papers and response to the reply request.

4. Perry Solomon and Robert Carney refuse to sign a Declaration of Inventorship. The circumstances of the presentation of application papers and refusal are evidence by the statement of facts set forth in the attached Declaration of Kellie S. Bickel (Attachment C), filed in support of the Second Renewed Petition under 37 C.F.R. § 1.47(a). The conduct of Perry Solomon and Robert Carney constitutes a refusal to sign, or at least indicates that they cannot be reached, as evidenced by the attached Declaration of Kellie S. Bickel (Attachment C). Kellie S. Bickel is the person who determined that Perry Solomon and Robert Carney could not be reached or refused to sign a Declaration (M.P.E.P. 409.03(a) and 409.03(d)).

B. Petition Fee under 37 C.F.R. § 1.17(g)

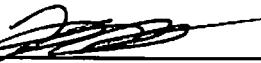
The petition fee of \$200 under 37 C.F.R. § 1.17(g) is submitted via EFT Account.

Based on the above and Attachments A-C, Applicants respectfully request that the Commissioner grant this Second Renewed Petition under 37 C.F.R. 1.47(a).

Applicant believes all fees due in connection with this filing are submitted herewith. However, if a fee is due, the Commissioner is authorized to charge our Deposit Account No. 50-0665, under Order No. 401768005US2 from which the undersigned is authorized to draw.

Dated: April 24, 2008

Respectfully submitted,

By 

Kellie S. Bickel

Registration No.: 46,386
PERKINS COIE LLP
P.O. Box 1247
Seattle, Washington 98111-1247
(206) 359-8000
(206) 359-7198 (Fax)
Agent for Applicant

Attachment A

Declaration submitted February 26, 2007

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MICROPAYMENT PROCESSING METHOD AND SYSTEM

PEPL-0112
(Attorney Docket No. 082083-0104)

the specification of which (check one)

is attached hereto.

was filed on 10/18/2005 as United States Application Number or PCT International Application Number 10/553,611 and was amended on _____ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before we invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by us, or by our legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
PCT/US04/01845	WO	January 25, 2003	Yes	No

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date
60/442,486	1/25/03
60/456,741	3/21/03

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of

Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the registered attorneys and agents at Customer Number

48329

to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith.

I request that all correspondence be directed to:

Mark G. Lappin
FOLEY & LARDNER LLP
111 Huntington Avenue
Boston, MA 02199

Telephone: 617.342.4000
Facsimile: 617.342.4001

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

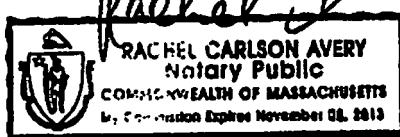
I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Atty. Dkt. No. PEPL-112
(082083-0104)

Name of 1st inventor Ronald L. Rivest
Residence 41 Academy Street, Arlington, MA 02476
Citizenship U.S.A.
Post Office Address same
Inventor's signature Ronald L. Rivest
Date 2/17/07

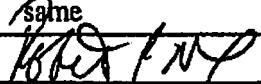
On the 17th day of February, 2007, before
me, the undersigned Notary Public, personally appeared
Ronald L. Rivest,
known to me through satisfactory evidence of identification,
which was MASS. Drivers, to be the person whose
name is signed on the foregoing or attached document and
acknowledged to me that he/she signed it voluntarily for his/her
use. Notary Public
STACI V. RIVEST, Notary Public
My Commission Expires May 23, 2012

Name of 2nd inventor Silvio Micali
Residence 459 Chestnut Hill Avenue, Brookline, MA 02146
Citizenship U.S.A.
Post Office Address same
Inventor's signature Rachel J
Date 2. 20. 07



Name of 3rd inventor	Perry Solomon
Residence	18 Wilcox Avenue, Pawtucket, RI 02860
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	
Date	

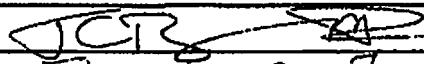
Atty. Dkt. No. PEPL-112
(082083-0104)

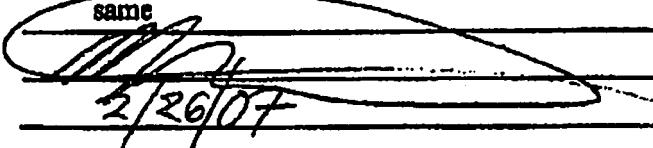
Name of 3rd inventor	Robert Nix
Residence	197 Belknap Street, Concord, MA 01742
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	
Date	2-20-2007

Atty. Dkt. No. PEPL-112
(082083-0104)

Name of 3rd inventor	Robert Carney
Residence	15 Newman Street, Cambridge, MA 02140
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	
Date	

Name of 3rd inventor	Prasad Jonnalagadda
Residence	72 Pearl Street, Newton, MA 02458
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	
Date	

Name of 3rd inventor	Joseph Bergeron III
Residence	41 St. Germain Street, Boston, MA 02114
Citizenship	U.S.A.
Post Office Address	same
Inventor's signature	
Date	<u>Feb 20, 2007</u>

Name of 3rd inventor Mark Bates
Residence 64 Regent Road, Malden, MA 02148
Citizenship U.S.A.
Post Office Address same
Inventor's signature 
Date 2/26/07

Attachment B

Declaration submitted January 08, 2008

Declaration for Patent Application English Language Declaration		Attorney Docket No.	401768005US2
		First Named Inventor	Ronald L. Rivest
COMPLETE IF KNOWN:			
<input type="checkbox"/> Submitted with initial filing	<input checked="" type="checkbox"/> Submitted after initial filing (surcharge required 37 CFR 1.16(e))	Application No.	10/553,611-Conf. #1989
		Filing Date	January 23, 2004
		Art Unit	N/A
		Examiner	Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MICROPAYMENT PROCESSING METHOD AND SYSTEM

the specification of which

Is attached hereto

OR

was filed on 01/23/2004

as United States Application No. or PCT International Application No. 10/553,611
and was amended on _____ (If applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed	Certified Copy Attached	
	YES	NO
<u>(Number)</u>	<u>(Country)</u>	<u>(Filing Date)</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Filing Date)</u>
<u>(Number)</u>	<u>(Country)</u>	<u>(Filing Date)</u>

Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

Ronald L. Rivest

Sole or first Inventor's signature

Date

Residence

Arlington, Massachusetts

Citizenship US

Mailing Address

41 Academy

Arlington, Massachusetts 02476

Full name of second inventor

Silvio Micali

Second Inventor's signature

Date

Residence

Brookline, Massachusetts

Citizenship US

Mailing Address

459 Chestnut Hill Avenue

Brookline, Massachusetts 02146

Full name of third Inventor
Perry Solomon

Third Inventor's signature

Date

Residence

Pawtucket, Rhode Island

Citizenship **US**

Mailing Address

**18 Wilcox Avenue
Pawtucket, Rhode Island 02860**

Full name of fourth Inventor, if any

Robert Nix

Fourth Inventor's signature

Date

Residence

Concord, Massachusetts

Citizenship **US**

Mailing Address

**197 Belknap Street
Concord, Massachusetts 01742**

Full name of fifth Inventor, if any

Robert Carney

Fifth Inventor's signature

Date

Residence

Cambridge, Massachusetts

Citizenship **US**

Mailing Address

**15 Newman Street
Cambridge, Massachusetts 02140**

Full name of sixth Inventor, if any

Prasad Jonnalagadda

Sixth Inventor's signature



Date

11/24/07

Residence

Acton, Massachusetts

Citizenship **US**

Mailing Address

**43 Minot Avenue
Acton, Massachusetts 01720**

Full name of seventh inventor, if any
Joseph Bergeron

Seventh inventor's signature

Date

Residence
Palo Alto, California

Citizenship **US**

Mailing Address

**1775 Alma Street
Palo Alto, California 94301**

Full name of eighth inventor, if any
Mark Bates

Eighth inventor's signature

Date

Residence
Malden, Massachusetts

Citizenship **US**

Mailing Address

**64 Regent Road
Malden, Massachusetts 02148**

Attachment C

**Declaration of Kellie S. Bickel
Filed in Support of Second Renewed Petition under 37 C.F.R. § 1.47(a)**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Rivest et al.

Application No.: 10/553,611

Confirmation No.: 1989

Filed: January 23, 2004

Art Unit: N/A

For: **MICROPAYMENT PROCESSING METHOD
AND SYSTEM**

Examiner: Not Yet Assigned

DECLARATION OF KELLIE S. BICKEL
FILED IN SUPPORT OF SECOND RENEWED PETITION UNDER 37 C.F.R. § 1.47(a)

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Rafael Bacares

Sir:

I, Kellie S. Bickel, hereby declare and say that:

1. I am a patent agent and an authorized representative of Chockstone, Inc., the owner of the above-identified application ("the Application").
2. I am familiar with and have first-hand knowledge of the facts recited below.
3. The following individuals are listed as inventors on the Application: Ronald L. Rivest; Silvio Micali; Perry Solomon; Robert Nix; Robert Carney; Prasad Jonnalagadda; Joseph Bergeron III; and Mark Bates. Each of these individuals, with the exception of Perry Solomon and Robert Carney, has signed a Declaration in this Application.

4. A bona fide attempt was made to present a copy of the Application papers (specification, including claims, drawings and oath or declaration) to the non-signing inventors, Mr. Solomon and Mr. Carney, for signature. The facts which are relied upon to establish this bona fide attempt to have Mr. Solomon and Mr. Carney sign a Declaration in this Application are as follows:

a. On December 18, 2007, I sent a first package to Mr. Solomon, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7004 2890 0000 2852 3684 to the last known address of Mr. Solomon as set forth below:

Mr. Perry Solomon
111 85th Street E #17G
New York, New York, 10028

The package included: (1) a copy of the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, (4) an Assignment form, and (5) a cover letter requesting Mr. Solomon to sign the Declaration. A copy of the cover letter, certified mail receipt, and signed return delivery receipt, attached hereto as Exhibit A, indicate that Mr. Richard Giove signed for this package on December 22, 2007 and the U.S. Postal Service accepted this signature on behalf of Mr. Solomon.

b. On December 18, 2007, I sent a package to Mr. Carney, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7003 2260 0006 4290 4629 to the last known address of Mr. Carney as set forth below:

Mr. Robert Carney
15 Newman Street
Cambridge, Massachusetts, 02140

The package included: (1) a copy of the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, (4) an Assignment form, and (5) a cover letter requesting Mr. Carney to sign the Declaration. A copy of the cover letter, certified mail receipt, and signed return delivery receipt indicating that Mr. Carney personally signed for this package on December 27, 2007 are attached hereto as Exhibit B.

c. On March 04, 2008, I sent a second courtesy package to Mr. Solomon, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7006 0100 0002 9488 3060 to the last known address of Mr. Solomon as set forth below:

Mr. Perry Solomon
111 85th Street E #17G
New York, New York, 10028

The second courtesy package included courtesy copies of: (1) the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, (4) an Assignment form, and (5) a second cover letter with an extended due date and a request to Mr. Solomon to sign the Declaration. A copy of the cover letter, the Declaration form, the Assignment form, certified mail receipt, U.S. Postal Service confirmation delivery form, and signed return delivery receipt are attached hereto as Exhibit C. The signed return delivery receipt indicates that Mr. Richard Giove signed for this package on March 7, 2008 and that the U.S. Postal Service accepted this signature on behalf of Mr. Solomon. As set forth in the Declaration of Nathan J. Koppelman (Exhibit D), an assistant to me, Mr. Richard Giove is a doorman at the 111 East 85th Street residence building.

d. On March 04, 2008, I sent a second courtesy package to Mr. Carney, via U.S. Postal Service Restricted Delivery Certified Mail No.: 7006 0100 0002 9488 3053 to the last known address of Mr. Carney as set forth below:

Mr. Robert Carney
15 Newman Street
Cambridge, Massachusetts, 02140

The package included: (1) a copy of the Application, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, (2) an explanation of the Duty of Disclosure, (3) an original Declaration form, and (4) a second cover letter with an extended due date and a request to Mr. Carney to sign the Declaration. A copy of the cover letter, the Declaration form, certified mail receipt, U.S. Postal Service confirmation delivery form, and signed return delivery receipt are attached hereto as Exhibit E. The signed return

delivery receipt indicates that Mr. Carney personally signed for this package on March 29, 2008.

5. As of the date of this declaration, I have not received communication or a signed Declaration from Mr. Solomon or Mr. Carney.

6. Based on the foregoing and the documentary evidence submitted herewith, it is clear that the inventors' conduct constitutes either a refusal to sign or an unavailability of an inventor under 37 C.F.R. 1.47(a).

The undersigned declares that all statements made herein of her own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or of any patent issued thereon.

Dated this 24 day of April 2008.



Kellie S. Bickel, Ph.D.
Patent Agent
Perkins Coie LLP
1201 Third Avenue
Suite 4800
Seattle, WA 98101-3099

EXHIBIT A

Cover letter sent to Perry Solomon on December 18, 2007, certified mail receipt,
and signed return delivery receipt



Kellie S. Bickel
PHONE: (206) 359-8118
FAX: (206) 359-9118
EMAIL: KBickel@perkinscoie.com

1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099
PHONE: 206.359.8000
FAX: 206.359.9000
www.perkinscoie.com

December 18, 2007

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY**

Perry Solomon
111 85th Street E #17G
New York, New York 10028

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

Executive Summary

1. Please sign and date the attached Declaration and Assignment.
2. Please return the signed documents to us no later than December 28, 2007.

Dear Mr. Solomon:

Thank you for your time. Chockstone, Inc. has purchased Peppercoin Inc.'s patent assets. The US Patent Office has not received a Declaration of Inventorship signed by yourself on your own behalf for the above identified patent application. Accordingly, please sign the attached Declaration and an Assignment. I have also attached a copy of the above U.S Patent Application for your review and have outlined instructions below for confirming inventorship and disclosing "prior art" to the U.S. Patent and Trademark Office. Further information regarding these topics is enclosed with this letter.

Confirm Inventorship

In the United States, patent applications are filed (and patents issue) in the name of all the inventors. An inventor is one who made an inventive contribution to the method, apparatus or product defined by any one of the claims in the application. If someone later discovers that the list of inventors is incorrect and resulted from bad faith, any resulting patent could be invalidated. We understand that the inventors for U.S. Patent Application No. 10/553,611 are

40176-8005.US02/LEGAL.I3808787.1
12/17/07

ANCHORAGE • BEIJING • BELLEVUE • BOISE • CHICAGO • DENVER • LOS ANGELES • MENLO PARK
OLYMPIA • PHOENIX • PORTLAND • SAN FRANCISCO • SEATTLE • SHANGHAI • WASHINGTON, D.C.

Perkins Coie LLP and Affiliates

Perry Solomon
December 18, 2007
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

Each inventor should sign and date the Declaration with his full legal name. By signing the Declaration, each inventor is, in effect, declaring that he does not know or believe the invention was: (a) known or used by others in the United States before he or she conceived of the invention; (b) in public use or offered for sale in the United States more than one year before this application was filed; or (c) patented or described in any printed publication in any country, either before he or she conceived of the invention or more than one year before filing this application. Each inventor is also declaring that he or she has read and understood the contents of the application, and has contributed to the conception of the invention as defined by the claims. If the Declaration requires any changes and/or edits to your name or address, please initial and date each edit. Each inventor should sign the Assignment before a Notary Public. After the Assignment has been signed, please return the signed 1) Declaration and 2) Assignment. Our final deadline to file these documents is January, 8, 2008. Kindly return these documents as well as the copy of the Application to us no later than December 28, 2007. We have included a pre-paid return envelope for your convenience.

You Must Disclose Information Materially Related to the Invention

The Patent Office regulations require the inventors, the patent attorney, and anyone else substantively involved in preparing or prosecuting the patent application to disclose to the Patent Office any information that may bear on the patent Examiner's decision to allow the application to issue as a patent. If you or anyone associated with this application is aware of any such information that you have not previously provided to us, please let us know.

Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611

Assignment for U.S. Patent Application 10/553,611

U.S. Patent Application 10/553,611

Duty of Disclosure

UNITED STATES POSTAL SERVICE

THE PEGASUS IN ART

First Class Mail
Postage & Fees Paid
U.S.P.
Postage Paid

• **Sender: Please print your name, address, and ZIP+4 in this box** •

Kelli Bickel 41
1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099

S. Bruzelton

4D176-8005. 1802.

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EXHIBIT B

Cover letter sent to Robert Carney on December 18, 2007, certified mail receipt,
and signed return delivery receipt



1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099
PHONE: 206.359.8000
FAX: 206.359.9000
www.perkinscole.com

Kellie S. Bickel
PHONE: (206) 359-8118
FAX: (206) 359-9118
EMAIL: KBickel@perkinscole.com

December 18, 2007

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY**

Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

Executive Summary

1. Please sign and date the attached Declaration and Assignment.
2. Please return the signed documents to us no later than December 28, 2007.

Dear Mr. Carney:

Thank you for your time. Chockstone, Inc. has purchased Peppercoin Inc.'s patent assets. The US Patent Office has not received a Declaration of Inventorship signed by yourself on your own behalf for the above identified patent application. Accordingly, please sign the attached Declaration and an Assignment. I have also attached a copy of the above U.S Patent Application for your review and have outlined instructions below for confirming inventorship and disclosing "prior art" to the U.S. Patent and Trademark Office. Further information regarding these topics is enclosed with this letter.

Confirm Inventorship

In the United States, patent applications are filed (and patents issue) in the name of all the inventors. An inventor is one who made an inventive contribution to the method, apparatus or product defined by any one of the claims in the application. If someone later discovers that the list of inventors is incorrect and resulted from bad faith, any resulting patent could be invalidated. We understand that the inventors for U.S. Patent Application No. 10/553,611 are

40176-8005.US02/LEGAL13812287.1
12/18/07

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Perkins Coie LLP and Affiliates

Robert Carney
December 18, 2007
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

Each inventor should sign and date the Declaration with his full legal name. By signing the Declaration, each inventor is, in effect, declaring that he does not know or believe the invention was: (a) known or used by others in the United States before he or she conceived of the invention; (b) in public use or offered for sale in the United States more than one year before this application was filed; or (c) patented or described in any printed publication in any country, either before he or she conceived of the invention or more than one year before filing this application. Each inventor is also declaring that he or she has read and understood the contents of the application, and has contributed to the conception of the invention as defined by the claims. If the Declaration requires any changes and/or edits to your name or address, please initial and date each edit. Each inventor should sign the Assignment before a Notary Public. After the Assignment has been signed, please return the signed 1) Declaration and 2) Assignment. Our final deadline to file these documents is January, 8, 2008. Kindly return these documents as well as the copy of the Application to us no later than December 28, 2007. We have included a pre-paid return envelope for your convenience.

You Must Disclose Information Materially Related to the Invention

The Patent Office regulations require the inventors, the patent attorney, and anyone else substantively involved in preparing or prosecuting the patent application to disclose to the Patent Office any information that may bear on the patent Examiner's decision to allow the application to issue as a patent. If you or anyone associated with this application is aware of any such information that you have not previously provided to us, please let us know.

Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611

Assignment for U.S. Patent Application 10/553,611

U.S. Patent Application 10/553,611

Duty of Disclosure

SENDER: COMPLETE THIS SECTION

COMPLETE THIS SECTION ON DELIVERY

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1. Article Addressed to:

Robert Carney
15 Newman Street
Cambridge, MA 02140

A. Signature

Robert Carney

 Agent Address

B. Received by (Printed Name)

C. Date of Deliv.

D. Is delivery address different from Item 1? YesIf YES, enter delivery address below: No

4002 12 330

3. Service Type

<input checked="" type="checkbox"/> Certified Mail	<input type="checkbox"/> Express Mail
<input type="checkbox"/> Registered	<input type="checkbox"/> Return Receipt for Merchandise
<input type="checkbox"/> Insured Mail	<input type="checkbox"/> C.O.D.

4. Restricted Delivery? (Extra Fee)

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2. Article Number

(Transfer from service label)

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PS Form 3811, February 2004

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10250-02-4-1

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Total Postage & Fees	\$ 12.30
Send To	Robert Carney
Street, Apt. No. or PO Box No.	15 Newman Street
City, State, ZIP	Cambridge, MA 02140



40176-1003.US

PS Form 3800, June 2002

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Permit No. 1

28 DEC 2007 104 27

• Sender: Please print your name, address, and ZIP+4 in this box •

Kelli S. Bickel

1201 Third Avenue, Suite 4800

Seattle, WA 98101-3099

S. Brazelton

401710-8005.0002

3099

EXHIBIT C

Second courtesy cover letter sent to Perry Solomon on March 04, 2008,
the Declaration form, the Assignment form, certified mail receipt, U.S. Postal Service
confirmation delivery form, and signed return delivery receipt



1201 Third Avenue, Suite 4800

Seattle, WA 98101-3099

PHONE: 206.359.8000

FAX: 206.359.9000

www.perkinscoie.com

Kellie S. Bickel
PHONE: (206) 359-8118
FAX: (206) 359-9118
EMAIL: KBickel@perkinscoie.com

March 4, 2008

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY
RETURN RECEIPT REQUESTED**

Perry Solomon
111 85th Street E #17G
New York, New York 10028

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

Executive Summary

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2. Please return the signed documents to us no later than April 15, 2008.

Dear Mr. Solomon:

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40176-8005.US02/LEGAL14037165.1

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Perkins Coie LLP and Affiliates

Perry Solomon
March 4, 2008
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

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Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

KSB:njk

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611
Assignment for U.S. Patent Application 10/553,611
U.S. Patent Application 10/553,611
Duty of Disclosure

Declaration for Patent Application English Language Declaration		Attorney Docket No. <u>401768005US2</u>
		First Named Inventor <u>Ronald L. Rivest</u>
COMPLETE IF KNOWN:		
<input type="checkbox"/> Submitted with initial filing		Application No. <u>10/553,611-Conf. #1989</u>
<input checked="" type="checkbox"/> Submitted after initial filing (surcharge required 37 CFR 1.16(e))		Filing Date <u>January 23, 2004</u>
		Art Unit <u>N/A</u>
		Examiner <u>Not Yet Assigned</u>

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MICROPAYMENT PROCESSING METHOD AND SYSTEM

the specification of which

is attached hereto

OR

was filed on 01/23/2004

as United States Application No. or PCT International Application No. 10/553,611

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed	Certified Copy Attached
<input type="checkbox"/>	<input type="checkbox"/> YES <input type="checkbox"/> NO

(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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(Number)	(Country)	(Filing Date)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

Ronald L. Rivest

Sole or first inventor's signature

Date

Residence

Arlington, Massachusetts

Citizenship US

Mailing Address

41 Academy

Arlington, Massachusetts 02476

Full name of second inventor

Silvio Micali

Second inventor's signature

Date

Residence

Brookline, Massachusetts

Citizenship US

Mailing Address

459 Chestnut Hill Avenue

Brookline, Massachusetts 02146

Full name of third inventor Perry Solomon	
Third inventor's signature	Date
Residence New York, New York	
Citizenship US	
Mailing Address 111 85th Street E #17G New York, New York 10028	

Full name of fourth inventor, if any Robert Nix	
Fourth inventor's signature	Date
Residence Concord, Massachusetts	
Citizenship US	
Mailing Address 197 Belknap Street Concord, Massachusetts 01742	

Full name of fifth inventor, if any Robert Carney	
Fifth inventor's signature	Date
Residence Cambridge, Massachusetts	
Citizenship US	
Mailing Address 15 Newman Street Cambridge, Massachusetts 02140	

Full name of sixth inventor, if any Prasad Jonnalagadda	
Sixth inventor's signature	Date
Residence Acton, Massachusetts	
Citizenship US	
Mailing Address 43 Minot Avenue Acton, Massachusetts 01720	

Full name of seventh inventor, if any
Joseph Bergeron

Seventh inventor's signature

Date

Residence

Palo Alto, California

Citizenship **US**

Mailing Address

**1775 Alma Street
Palo Alto, California 94301**

Full name of eighth inventor, if any
Mark Bates

Eighth inventor's signature

Date

Residence

Malden, Massachusetts

Citizenship **US**

Mailing Address

**64 Regent Road
Malden, Massachusetts 02148**

ASSIGNMENT BY INVENTOR

This Assignment is by Perry Solomon (the "Assignor"), residing at 111 85th Street E #17G, New York, New York 10028. The Assignor has invented one or more certain inventions (the "Invention(s)") described in the Patent applications listed below:

- U.S. Provisional Application Number 60/442,486, entitled METHOD AND SYSTEM FOR MICROPAYMENT TRANSACTIONS, filed January 25, 2003;
- U.S. Provisional Application Number 60/456,741, entitled METHOD AND SYSTEM FOR MICROPAYMENT TRANSACTIONS, filed March 21, 2003;
- International Application Number PCT/US04/01845, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, filed January 23, 2004; and
- U.S. Application Number 10/553,611, entitled MICROPAYMENT PROCESSING METHOD AND SYSTEM, filed _____.

The Assignor authorizes the Assignee, identified below, or its representatives to insert the official filing date of U.S. Application Number 10/553,661 when known.

Chockstone, Inc., a Corporation of Oregon having its principal place of business at 326 S.W. Broadway, Portland, Oregon 97205 ("Assignee"), desires to acquire the entire right, title and interest in and to the Invention(s) and the Application, and in and to any patents (collectively, "Patents") that may be granted for the Invention(s) in the United States or in any foreign countries.

For valuable consideration, the receipt and sufficiency of which Assignor acknowledges, Assignor hereby sells, assigns, and transfers to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to: the Invention(s), the Application, and any Patents; any divisions, continuations, and continuations-in-part of the Application and any other application claiming priority rights from the Application; any reissues, reexaminations, or extensions of any and all Patents; the right to file foreign applications directly in the name of Assignee; and the right to claim priority rights deriving from the Application (collectively, the "Rights").

Assignor warrants that Assignor owns the Rights, and that the Rights are unencumbered. Assignor also agrees to not sign any writing or do any act conflicting with this assignment, and, without further compensation, sign all documents and do such additional acts as Assignee deems necessary or desirable to: perfect Assignee's enjoyment of the Rights; conduct proceedings regarding the Rights, including any litigation or interference proceedings; or perfect or defend title to the Rights. Assignor requests the Commissioner of Patents to issue any Patent of the United States that may be issued on the Invention(s) to Assignee. This Assignment may be executed in counterparts.

Perry Solomon

Date:

United States of America)
State of _____) ss.:
County of _____)

On this _____ day of _____, _____, before me personally came Perry Solomon, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

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or PO Box No. 111 85th St. E. #17G
City, State, ZIP+4 New York, NY 10028

PS Form 3800, June 2002 See Reverse for Instructions

1201 Third Avenue, Suite 4800
Seattle, WA 98101-3999

Perry Solomon
111 85th Street E #17G
New York, New York 10028

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1. Article Addressed to:

Perry Solomon
111 85th Street E #17G
New York, New York 10028

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A. Signature <input checked="" type="checkbox"/> X	<input type="checkbox"/> Agent <input type="checkbox"/> Addressee
B. Received by (Printed Name)	C. Date of Delivery
D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below. <input type="checkbox"/> No	
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Search Results

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Detailed Results:

- Delivered, March 07, 2008, 12:16 pm, NEW YORK, NY 10028
- Arrival at Unit, March 07, 2008, 7:29 am, NEW YORK, NY 10028

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	ed	<i>Richard Grove</i>
Address:	try	1165
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1. Article Addressed to:

Perry Solomon
111 85th Street E #17G
New York, New York 10028

COMPLETE THIS SECTION ON DELIVERY**A. Signature**

Richard G. Agent
 Addressee

B. Received by (Printed Name)

3/7/08
C. Date of Delivery

D. Is delivery address different from item 1? Yes
If YES, enter delivery address below: No

3. Service Type

<input checked="" type="checkbox"/> Certified Mail	<input type="checkbox"/> Express Mail
<input type="checkbox"/> Registered	<input type="checkbox"/> Return Receipt for Merchandise
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4. Restricted Delivery? (Extra Fee)

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2. Article Number

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PS Form 3811, February 2004

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Certified Fee	2.65	
Return Receipt Fee (Endorsement Required)	2.15	
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$ 12.30	

Sent To
Perry Solomon
Street, Apt. No.: 111 85th St E #17G
or PO Box No.
City, State, ZIP+4
New York, NY 10028

PS Form 3800, June 2002
See Reverse for Instructions

EXHIBIT D

Declaration of Nathan J. Koppelman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Rivest et al.

Application No.: 10/553,611

Confirmation No.: 1989

Filed: January 23, 2004

Art Unit: N/A

For: **MICROPAYMENT PROCESSING METHOD
AND SYSTEM**

Examiner: Not Yet Assigned

DECLARATION OF NATHAN J. KOPPELMAN
FILED IN SUPPORT OF SECOND RENEWED PETITION UNDER 37 C.F.R. § 1.47(a)

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Rafael Bacares

Sir:

I, Nathan J. Koppelman, hereby declare and say that:

1. I am an assistant to Dr. Kellie S. Bickel, a patent agent and an authorized representative of Chockstone, Inc., the owner of the above-identified application ("the Application").

2. I am familiar with and have first-hand knowledge of the facts recited below.

3. I held a telephone conference on April 08, 2008 with Mr. Bob Tullis who declared himself the superintendent of 111 East 85th Street residence building (the "Residence Building"). During the telephone conference, Mr. Tullis communicated to me that Mr. Richard Giove is a doorman at the Residence Building. Mr. Tullis further

communicated to me that residents of the Residence Building commonly give permission for the building representatives to accept packages on their behalf.

The undersigned declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or of any patent issued thereon.

Dated this 24th day of April 2008.



Nathan J. Koppelman
Paralegal Assistant
Perkins Coie LLP
1201 Third Avenue
Suite 4800
Seattle, WA 98101-3099

EXHIBIT E

Second courtesy cover letter sent to Robert Carney on March 04, 2008,
the Declaration form, certified mail receipt, U.S. Postal Service confirmation
delivery form, and signed return delivery receipt



Kellie S. Bickel
PHONE: (206) 359-8118
FAX: (206) 359-9118
EMAIL: KBickel@perkinscoie.com

1201 Third Avenue, Suite 4800
Seattle, WA 98101-3099
PHONE: 206.359.8000
FAX: 206.359.9000
www.perkinscoie.com

March 4, 2008

**VIA CERTIFIED MAIL
RESTRICTED DELIVERY
RETURN RECEIPT REQUESTED**

Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

**Re: U.S. Patent Application No. 10/553,611
Entitled: MICROPAYMENT PROCESSING METHOD AND SYSTEM
Attorney Docket No. 40176-8005US2
Previous Reference No. PEPL-0112**

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40176-8005.US02/LEGAL14037183.1

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Perkins Coie LLP and Affiliates

Robert Carney
March 4, 2008
Page 2

Ronald Rivest, Silvio Micali, Perry Solomon, Robert Nix, Robert Carney, Prasad Jonnalagadda, Joseph Bergeron and Mark Bates.

Each inventor should sign and date the Declaration with his full legal name. By signing the Declaration, each inventor is, in effect, declaring that he does not know or believe the invention was: (a) known or used by others in the United States before he or she conceived of the invention; (b) in public use or offered for sale in the United States more than one year before this application was filed; or (c) patented or described in any printed publication in any country, either before he or she conceived of the invention or more than one year before filing this application. Each inventor is also declaring that he or she has read and understood the contents of the application, and has contributed to the conception of the invention as defined by the claims. If the Declaration requires any changes and/or edits to your name or address, please initial and date each edit. After the Declaration has been signed, please return the signed Declaration. Our deadline to file this document is April 27, 2008. Kindly return this document as well as the copy of the Application to us no later than April 15, 2008. We have included a pre-paid return envelope for your convenience.

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Thank you for giving this matter your immediate attention. If you have any questions or comments, please contact me.

Very truly yours,



Kellie S. Bickel, Ph.D.
Patent Agent

KSB:njk

Enclosures:

Declaration of Inventorship for U.S. Patent Application 10/553,611
U.S. Patent Application 10/553,611
Duty of Disclosure

Declaration for Patent Application English Language Declaration		Attorney Docket No. 401768005US2
		First Named Inventor Ronald L. Rivest
COMPLETE IF KNOWN:		
<input type="checkbox"/> Submitted with initial filing <input checked="" type="checkbox"/> Submitted after initial filing (surcharge required 37 CFR 1.16(e))		Application No. 10/553,611-Conf. #1989
		Filing Date January 23, 2004
		Art Unit N/A
		Examiner Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MICROPAYMENT PROCESSING METHOD AND SYSTEM

the specification of which

is attached hereto

OR

was filed on 01/23/2004

as United States Application No. or PCT International Application No. 10/553,611
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign applications(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed	Certified Copy Attached	
	YES	NO
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(Number) _____ (Country) _____ (Filing Date) _____

(Number) _____ (Country) _____ (Filing Date) _____

(Number) _____ (Country) _____ (Filing Date) _____

Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

Ronald L. Rivest

Sole or first inventor's signature

Date

Residence

Arlington, Massachusetts

Citizenship **US**

Mailing Address

41 Academy

Arlington, Massachusetts 02476

Full name of second inventor

Silvio Micali

Second inventor's signature

Date

Residence

Brookline, Massachusetts

Citizenship **US**

Mailing Address

459 Chestnut Hill Avenue

Brookline, Massachusetts 02146

Full name of third inventor Perry Solomon	
Third inventor's signature	Date
Residence New York, New York	
Citizenship US	
Mailing Address 111 85th Street E #17G New York, New York 10028	

Full name of fourth inventor, if any Robert Nix	
Fourth inventor's signature	Date
Residence Concord, Massachusetts	
Citizenship US	
Mailing Address 197 Belknap Street Concord, Massachusetts 01742	

Full name of fifth inventor, if any Robert Carney	
Fifth inventor's signature	Date
Residence Cambridge, Massachusetts	
Citizenship US	
Mailing Address 15 Newman Street Cambridge, Massachusetts 02140	

Full name of sixth inventor, if any Prasad Jonnalagadda	
Sixth inventor's signature	Date
Residence Acton, Massachusetts	
Citizenship US	
Mailing Address 43 Minot Avenue Acton, Massachusetts 01720	

Full name of seventh inventor, if any

Joseph Bergeron

Seventh inventor's signature

Date

Residence

Palo Alto, California

Citizenship US

Mailing Address

1775 Alma Street
Palo Alto, California 94301

Full name of eighth inventor, if any

Mark Bates

Eighth inventor's signature

Date

Residence

Malden, Massachusetts

Citizenship US

Mailing Address

64 Regent Road
Malden, Massachusetts 02148



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Street, Apt. No.: 15 Newman Street
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City, State, Zip/44 Cambridge, MA 02140

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Third Avenue, Suite 4800
Seattle, WA 98101-3099

Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

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1. Article Addressed to:
Robert Carney
15 Newman Street
Cambridge, MA 02140

COMPLETE THIS SECTION ON DELIVERY

A. Signature		<input type="checkbox"/> Agent	<input type="checkbox"/> Addressee
X			
B. Received by (Printed Name)		C. Date of Delivery	
D. Is delivery address different from Item 1? <input type="checkbox"/> Yes <input type="checkbox"/> No		If YES, enter delivery address below:	
3. Service Type		4. Restricted Delivery? (Extra Fee) <input checked="" type="checkbox"/> Yes	
<input checked="" type="checkbox"/> Certified Mail		<input type="checkbox"/> Express Mail	
<input type="checkbox"/> Registered		<input type="checkbox"/> Return Receipt for Merchandise	
<input type="checkbox"/> Insured Mail		<input type="checkbox"/> C.O.D.	
2. Article Number (Transfer from service label)		7006 0100 0002 9488 3053	
PS Form 3811, February 2004		Domestic Return Receipt 102585-02-44-1540	

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Search Results

Label/Receipt Number: 7006 0100 0002 9488 3053

Detailed Results:

- Delivered, March 29, 2008, 9:14 am, CAMBRIDGE, MA 02140
- Notice Left, March 06, 2008, 3:49 pm, CAMBRIDGE, MA 02140
- Arrival at Unit, March 06, 2008, 7:33 am, CAMBRIDGE, MA 02140

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Track/Confirm - Intranet Item Inquiry
Item Number: 7006 0100 0002 9488 3053

This Item was delivered on 03/29/2008 at 09:14

Signature:	<i>Robert Caney</i> <i>Robert Caney</i>
Address:	<i>15 Newman St</i>

Enter Request Type and Item Number:

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Total Postage & Fees	\$1230

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Robert Carney
Street, Apt. No.
or P.O. Box No.
City, State, ZIP+4
15 Newman Street
Cambridge MA 02140

PS Form 3880, June 2002

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SENDER: COMPLETE THIS SECTION

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- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

**Robert Carney
15 Newman Street
Cambridge, MA 02140**

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X Robert Carney

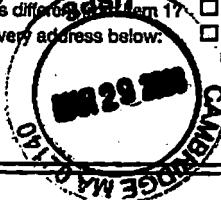
Agent
 Addressee

B. Received by (Printed Name)

R Carney

C. Date of Delivery

D. Is delivery address different from Item 1? Yes
 No



3. Service Type

Certified Mail Express Mail
 Registered Return Receipt for Merchandise
 Insured Mail C.O.D.

4. Restricted Delivery? (Extra Fee)

Yes

2. Article Number

(Transfer from service label)

7006 0100 0002 9488 3053

PS Form 3811, February 2004

Domestic Return Receipt

102595-02-M-1540

Attachment D

**Decision on Renewed Petition under 37 C.F.R. § 1.47(a) mailed
February 27, 2008**

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RECEIVED
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In re Application of **FEB 29 2008** : DECISION ON RENEWED
Rivet et al :
PCT No.: PCT/US04/01845 :
Application No: 10/553,611 : PETITION UNDER
Int. Filing Date: 23 January 2004 :
Priority Date: 25 January 2005 :
Attorney's Docket No.: 67065-037 (PEPL-0112) : 37 CFR 1.47(a)/ 1.181
For: MICROPAYMENT PROCESSING
METHOD AND SYSTEM :
:

This is in response to the "RENEWED PETITION UNDER 37 CFR 1.47(a)," filed on 08 January 2008.

BACKGROUND

In a decision from this Office on 08 June 2007, the initial petition under 37 CFR 1.47(a) was dismissed. The decision stated that items (1)- (4) under 37 CFR 1.47(a) were not met, and the decision set a time period of response of two (2) months and extensions of time were available under 37 CFR 1.136(a).

On 05 December 2007, the United States Patent and Trademark Office mailed a "NOTICE OF ABANDONMENT" stating that the above-identified application is abandoned for failure to timely reply to the Decision on Petition mailed on 06/08/2007.

On 08 January 2008, petitioner submitted a "Renewed Petition Under 37 CFR 1.47(a) and Petition to Withdraw Holding of Abandonment under 37 CFR 1.181(a)" requesting reconsideration of the Office's decision of 08 June 2007, and to withdraw the abandonment mailed on 05 December 2007.

DISCUSSION

PETITION UNDER 37 CFR 1.181:

A review of the filed reveals that petitioner had time to response to the Decision mailed on 08 June 2007, and that petitioner's response on 08 January 2008 accompanied by a petition for a five-month extension time is timely.

The renewed petition under 37 CFR 1.47(a) is timely.

The petition under 37 CFR 1.181 is GRANTED. The Notice of Abandonment mailed on 05 December 2007 was in error and is hereby VACATED.

PETITION UNDER 37 CFR 1.47(a):

A petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(h), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor.

The renewed petition satisfies requirements (1), (3), and (4) under 37 CFR 1.47(a) but not item (2).

Regarding requirement (1), petitioner has provided the complete fee of \$200.00 under 37 CFR 1.17(g).

With respect to requirement (2), although the averments of Ms. Kellie S. Bickel and the signed postal receipts are sufficient to support a *bona fide* attempt was made to present a copy of the application papers (specification, including claims, drawings, and oath or declaration) to the nonsigning inventors for their signatures, the time period for their responses before filing the petition was too short. The application papers were mailed on December 18, 2007 and received on December 22, 2007 and December 27, 2007 by Mr. Gione and Mr. Carney, respectively but the renewed petition was filed on 08 January 2008 (less than a month for the nonsigning inventors to read, and decide to whether to respond). In fact, an email from Perry Solomon on December 21, 2007 states that the "end of year is very busy time." Accordingly, this is a short time period (about two weeks) to make a determination that they refuse to sign the papers.

With respect to requirement (3), a statement of the last known address of the missing inventors have been provided.

- (i) Perry Solomon
111 85th Street E #17G
New York, New York 10028

- (ii) Robert Carney
15 Newman Street
Cambridge, Massachusetts 02140

Regarding requirement (4), petitioner has provided an executed declaration signed by Ronald L. Rives, Silvio Micali, Robert Nix, Prasad Jonnalagadda, Joseph Bergeron iii and Mark Bates on their behalf and on the behalf of the nonsigning joint inventors Perry Solomon and Robert Carney.

Consequently, the petition does not satisfy all the requirements under 37 CFR 1.47(a).

CONCLUSION

The petition under 37 CFR 1.47(a) is **DISMISSED** without prejudice.

The petition under 37 CFR 1.181 is **GRANTED**.

If reconsideration of the merits of the petition under 37 CFR 1.47(a) is desired, applicant must file a request for reconsideration within **TWO (2) MONTHS** from the mail date of this Decision. Any reconsideration request should include a cover letter entitled "Second Renewed Petition Under 37 CFR 1.47(a)." Extensions of time are available under 37 CFR 1.136(a). Failure to timely file the proper response will result in **ABANDONMENT**.

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Rafael Bacares
PCT Legal Examiner
PCT Legal Office
Telephone: (571) 272-3267
Facsimile: (571) 273-0459